Th

6 ~) Apprney's Docket No. <u>42P17814</u> **6** Infirmation No.: 8503

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Robert Chau, et al.

Application No.: 10/750,028

Filed:

January 16, 2004

For: TRI-GATE TRANSISTORS AND

METHODS TO FABRICATE SAME

Examiner: Smith, Bradley

Art Unit: 2891

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to MS: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 4, 2006 (Date of Deposit)

Dianne Neathery

(Typed or printed name of person mailing correspondence)

(Signature of person mailing forrespondence)

Appl. No.: 10/750,028 Filing Date: January 16, 2004 - 1/2 -

LJV/cak (10/27/04) Atty. Docket: 42P17814 Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

has been made and is not to be construed as an admission that the information

cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X_	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: April 4, 2006

Michael A. Bernadicou

Reg. No. 35,934

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Appl. No.: 10/750,028 Filing Date: January 16, 2004 - 2/2 -

LJV/cak (10/27/04) Atty. Docket: 42P17814 Substitute for Form 1449/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** 10/750,028 STATEMENT BY APPLICANT OF 2006 Filing Date January 16, 2004 First Named Inventor: Robert Chau, et al. (use as many sheets as necessary) Art Unit 2891 **Examiner Name** Smith, Bradley 42P17814 Attorney Docket No.: Sheet 1 of **U.S. PATENT DOCUMENTS** Examiner Cite No.1 Publication Date Name of Patentee or Pages, Columns, Lines, Initials* **Document Number** MM-DD-YYYY Applicant of Cited Where Relevant Passages Document or Relevant Figures Appear Number-Kind Code² (If known) US- 2003/0122186 A1 07-03-2003 Sekigawa et al. US- 2003/0151077 A1 08-14-2003 Mathew et al. US-2004/0036118 A1 02-26-2004 Adadeer et al. US-2004/0092062 A1 05-13-2004 Ahmed et al. US-2004/0092067 A1 05-13-2004 Hanafi et al. US-2004/0110097 A1 06-10-2004 Ahmed et al. US-2004/0126975 A1 07-01-2004 Ahmed et al. 2004/0180491 A1 US-09-16-2004 Arai et al. US-2005/0145941 07-07-2005 Bedell et al. US-6,764,884 B1 07-20-2004 Yu et al. 6,858,478 B2 US-02-22-2005 Chau et al. US-6,921,982 07-26-2005 Joshi et al. US-6,562,665 B1 05-13-2003 Yu, Bin US-6,475,890 B1 11-05-2002 Yu, Bin US-2004/094807 A1 05-20-2004 Chau et al. 5,338,959 A Kim et al. US-08-16-1994 03-16-2004 US-6,706,571 B1 Yu et al. US-5,563,077 A 10-08-1996 Ha et al. US-2004/262699 A1 12-30-2004 Rios et al. 06-16-2005 US-2005/127362 A1 Zhang et al. US-2004/191980 A1 09-30-2004 Rios et al. US-2002/166838 A1 11-14-2002 Nagarajan, R. US-2004/0198003 A1 10-07-2004 Yeo et al.

Examiner	Date Considered
Signature	

08/26/2004

02/26/2004

12-02-2004

Chen et al.

Chau et al.

Nihey

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer,

US-

US-

US-

US-US-US- 2004/0166642 A1

2004/0036127 A1

2004/0238887

Substitute	for Form 1449/P	то	
		MATION DISCLOS	/0' 4\
		MENT BY APPLIC se as many sheets as necessary)	CANT SAPR OF 2006
Sheet	2	of	TRADERAL

Complete if Known				
	Application Number	10/750,028		
	Filing Date	January 16, 2004		
	First Named Inventor:	Robert Chau, et al.		
	Art Unit	2891		
	Examiner Name	Smith, Bradley		
	Attorney Docket No.:	42P17814		

	,	TELOTY ITTELY	II DOCOMENTO		
Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^e
	WO 2004/059726 A1	07-15-2004	IBM Corporation		
		Cite No.1 Country Code3 Number4 Kind Code5 (if known)	Cite No.1 Publication Date Country Code ³ Number ⁴ Kind Code ⁵ (if known)	No.¹ Country Code³ Number⁴ Kind Code⁵ (if known) Date MM-DD-YYYY Applicant of Cited Document	Cite No.1 Foreign Patent Document Publication Date MM-DD-YYYY Country Code ³ Number ⁴ Kind Code ⁵ (if known) Name of Patentee or Applicant of Cited Document Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т
		International Search Report PCT/US2005/033439, mailed 1/31/2006 (7 pgs.)	
,		International Search Report PCT/US2005/035380, mailed 2/13/2006 (14 pgs.)	
		International Search Report PCT/US2005/037169, mailed 2/23/2006 (11 pgs.)	
1		SUNG MIN KIM, et al., "A Novel Multi-channel Field Effect Transistr (McFET) on Bulk Si for High Performance Sub-80nm Application, IEDM 04-639, 2004 IEEE, pgs. 27.4.1-27.4.4	
		YANG-KYU CHOI, et al., "A Spacer Patterning Technology for Nanoscale CMOS" IEEE Transactions on Electron Devices, Vol. 49, No. 3, March 2002, pgs. 436-441	
		W. XIONG, et al., "Corner Effect in Multiple-Gate SOI MOSFETs" 2003 IEEE, pgs. 111-113	
kaminer gnature		Date Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute	for Form 1449/PTO		OIPE	Comple	te if Known
	INFORMA	TION DISCLOSUR	E " &	Application Number	10/750,028
				Filing Date	January 16, 2004
STATEMENT BY APPLICA			APR 07 2006	First Named Inventor:	Robert Chau, et al.
	(use as	s many sheets as necessary)		Art Unit	2891
			PADEMART	Examiner Name	Smith, Bradley
Sheet	3	of	3	Attorney Docket No.:	42P17814

£	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	= 1.1. (appropriate), use of the distance (appropriate), use of					
		WEIZE XIONG, et al., "Improvement of FinFET Electrical Characteristics by Hydrogen Annealing" IEEE Electron Device Letters, Vol. 25, No. 8, August 2004, XP-001198998, pgs. 541-543				
	r _e ph	FU-LIANG YANG, et al., "5nm-Gate Nanowire FinFET" 2004 Symposium on VLSI Technology Digest of Technical Papers, 2004 IEEE, pgs. 196-197				
		T. M. MAYER, et al., "Chemical Vapor Deposition of Fluoroalkylsilane Monolayer Films for Adhesion Control in Microelectromechanical Systems" 2000 American Vacuum Society B 18(5), Sep/Oct 2000, pp. 2433-2440				
		·				
Examiner Signature		Date Considered				

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.